



112222017003773



# SECURITIES AND EXCHANGE COMMISSION

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Company Information \_\_\_\_\_

SEC Registration No. 0000009142  
Company Name METRO GLOBAL HOLDINGS CORPORATION  
Industry Classification  
Company Type Stock Corporation

## Document Information

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# COVER SHEET

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M E T R O G L O B A L H O L D I N G S  
C O R P O R A T I O N ( F o r m e r l y  
F i l - E s t a t e C o r p o r a t i o n )

(Company's Full Name)

M E Z Z A N I N E F L O O R , R E N A I S S A N C E  
T O W E R , M E R A L C O A V E . , P A S I G  
(Business Address: No. Street City/ Town/ Province)

ALICE ODCHIGUE-BONDOC  
Contact Person

6336205 loc. 113  
Company Telephone Number

## Corporate Governance Seminar Attendance in Compliance with SEC

MC No. 19 Series of 2016

FORM TYPE

1st Thursday of March  
Month Day  
annual meeting

1 2 3 1  
Month Day  
fiscal year

Listed

Secondary License Type, If Applicable

Dept. Requiring this Doc.

Amended Articles Number/ Section

Total Amount of Borrowings  
Domestic Foreign

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To be accomplished by SEC Personnel concerned

File Number

LCU

Dociment I.D.

Cashier

STAMPS



**METRO GLOBAL**  
HOLDINGS CORPORATION

21 December 2017

**ATTY. RACHEL ESTHER J. GUMTANG-REMALANTE**

Officer-In-Charge, Corporate Governance & Finance Department  
SECURITIES AND EXCHANGE COMMISSION  
G/F Secretariat Building PICC Complex, Roxas Boulevard  
Pasay City, 1307

Dear Atty. Gumtang-Remalante:

Further to the compliance of Metro Global Holdings Corporation (the "Corporation") on 28 November 2017 in connection with the Commission's Memorandum Circular No. 19, Series of 2016, the Corporation's Independent Director, Mr. Eduardo R. Santos have likewise completed the Corporate Governance Seminar conducted by Philippine Corporate Enhancement and Governance, Inc. last 20 December 2017.

Attached is a copy of the Certificate of Attendance of Independent Director, Mr. Eduardo R. Santos which the Corporation hereby submits to the Commission in compliance with the Commission's directive.

Thank you very much.

Very truly yours,

  
**ATTY. ALICE ODEHIGUE-BONDOC**  
Compliance Officer

**PHILIPPINE CORPORATE ENHANCEMENT AND GOVERNANCE, INC.**  
(Formerly: Philippine Securities Consultancy Corporation)

**CERTIFICATE OF ATTENDANCE**

This is to certify that

**EDUARDO R. SANTOS**

*(Name of Participant)*

**Independent Director**

of

**Metro Global Holdings Corporation**

*(Designation)*

*(Name of Company)*

has attended the

**CORPORATE GOVERNANCE & AMLA SEMINAR**

*(Title of Seminar)*

held at Dusit Thani Hotel, Ayala Center, Makati City, Philippines, on December 20, 2017, with the following particulars:

	TOPICS	SPEAKERS
10:00 - 11:00	<p><b>UPDATES</b> On Corporate Governance Regulatory Developments In 2016 &amp; <b>POINTERS</b> To Ensure Effective Corporate Governance</p> <ul style="list-style-type: none"> <li>Comparative Features of RCCG 2009 &amp; CCG for PLCS 2016</li> <li>Corporate Financial Reporting, Disclosure, of Transparency &amp; Accountability Under the Code of Corporate Governance, 2016</li> <li>Pointers for Effective Corporate Governance System, Oversight Structures, Internal Control &amp; Monitoring</li> </ul>	<p><b>MRS. CATHERINE N. SAPLALA</b></p> <p><i>Former Director Investments &amp; Research Dept. Securities and Exchange Commission (SEC)</i></p> <p><i>BAPA, MBA, MA Research Communications</i></p>
11:00 - 12:00	<p>Corporate <b>RISK</b> Management - An Effective Framework In Corporate Governance:</p> <ul style="list-style-type: none"> <li>Concepts &amp; Assessment</li> <li>Organizational Structure and Strategies</li> <li>Financial/Capital Risk Management System</li> <li>Board of Directors Responsibility on Risk Oversight; Delegated Responsibility to Risk Management Committee; Role of the Risk Management Committee</li> <li>SEC Regulatory Perspective and OECD Principles on Risk Management</li> </ul>	<p><b>MRS. MERCEDES B. SULEIK</b></p> <p><i>Former Deputy Director, Bangko Sentral ng Pilipinas (BSP)</i></p> <p><i>Former Vice-President, DBP</i></p> <p><i>B.S.C., C.P.A., M. A. Economics, Ph.D. (cand.)</i></p> <p><i>Fellow, Institute of Corporate Directors (FICD)</i></p>
01:00 - 3:00	<p><b>Compliance with the Anti-Money Laundering Law (AMLA)</b></p> <ul style="list-style-type: none"> <li>Covered Companies, Institutions and Persons</li> <li>What the Board of Directors &amp; Corporate Officers Need to Know about AMLA</li> <li>Violations, Detection, Investigation, Prosecution, and Penalties</li> <li>Implications on the Local and Global Economy /Financial Environment</li> <li>Role of the Anti-Money Laundering Council (AMLAC) of the Bangko Sentral ng Pilipinas (BSP) in the Implementation and Enforcement of AMLA</li> </ul>	<p><b>ATTY. RICHARD DAVID C. FUNK II</b></p> <p><i>Former Deputy Director /Head Compliance &amp; Investigation Group Anti-Money Laundering Secretariat, Bangko Sentral ng Pilipinas (BSP);</i></p>

Given this 20<sup>th</sup> day of December, 2017, at Makati City, Philippines

  
**ATTY. FIDEL V. ESQUIERES**  
 President